

# Fractional order systems and controllers

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## Bode's ideal loop transfer function



Hendrik Wade Bode  
(1905-1982)

H.W. Bode, Network Analysis and Feedback Amplifier Design, D. Van Nostrand Company, Inc., New York, 1945.

Bode's ideal loop transfer function:

$$L(s) = \left( \frac{s}{\omega_{gc}} \right)^\alpha$$

$\omega_{gc}$  is desired crossover frequency

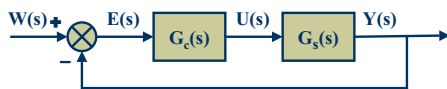
$\alpha$  is slope of the ideal cut-off characteristic.

Phase margin is  $\Phi_m = \pi(1 + \alpha/2)$  for all values of the gain. The amplitude margin  $A_m$  is infinity. The constant phase margin  $60^\circ$ ,  $45^\circ$  and  $30^\circ$  correspond to the slopes  $\alpha = -1.33$ ,  $-1.5$  and  $-1.66$ .

The Nyquist curve for ideal Bode transfer function is simply a straight line through the origin with  $\arg(L(j\omega)) = \alpha\pi/2$

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## Fractional-order systems

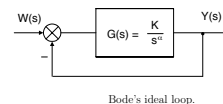


$$G_s(s) = \frac{1}{a_n s^{\beta_n} + a_{n-1} s^{\beta_{n-1}} + \dots + a_1 s^{\beta_1} + a_0 s^{\beta_0}}$$

$$a_n D^{\beta_n} y(t) + a_{n-1} D^{\beta_{n-1}} y(t) + \dots + a_0 D^{\beta_0} y(t) = u(t)$$

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## Bode's ideal loop transfer function



Bode's ideal loop.

General characteristics of the Bode's ideal transfer function:

(a) Open loop:

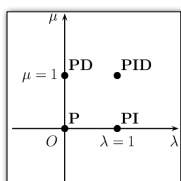
- Magnitude: constant slope of  $-\alpha 20 \text{ dB/dec.}$
- Crossover frequency: a function of  $K$ ;
- Phase: horizontal line of  $-\alpha \frac{\pi}{2}$ ;
- Nyquist: straight line at argument  $-\alpha \frac{\pi}{2}$ .

(b) Closed loop:

- Gain margin:  $A_m$  is infinite;
- Phase margin: constant:  $\Phi_m = \pi(1 - \frac{\alpha}{2})$ ;
- Step response:  $y(t) = K t^\alpha E_{\alpha, \alpha+1}(-K t^\alpha)$ , where  $E_{\alpha, \beta}(z)$  is Mittag-Leffler function of two parameters

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## $PI^\lambda D^\mu$ controllers



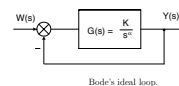
$$G_c(s) = \frac{U(s)}{E(s)} = K_p + K_I s^{-\lambda} + K_D s^\mu$$

$$u(t) = K_p e(t) + K_I D^{-\lambda} e(t) + K_D D^\mu e(t)$$

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## Bode's ideal loop transfer function

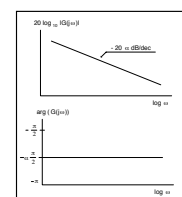
Can be used as a reference system in the form:



Bode's ideal loop.

$$G_c(s) = \frac{K}{s^\alpha + K}$$

$$G_o(s) = \frac{K}{s^\alpha}$$



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## Bode's ideal loop transfer function: example

The transfer function of a DC motor is

$$G(s) = \frac{K_m}{Js(s+1)} \quad J \text{ is payload inertia}$$

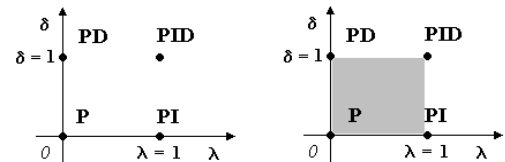
Assume that we would like to have a closed loop system that is insensitive to gain variations with a constant phase margin of  $60^\circ$ . Bode's ideal loop transfer function that gives this phase margin is

$$G_o(s) = \frac{1}{s^{3/2}}$$

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## $PI^\lambda D^\mu$ controllers

Fractional-order PID controllers:  
from points to plane



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## Bode's ideal loop transfer function: example

Since  $G_o(s) = C(s)G(s)$ , the controller transfer function is

$$C(s) = \frac{J}{K_m} \left( s^{2/3} + \frac{1}{s^{1/3}} \right)$$

this is a particular case of fractional PID

The obtained phase margin is  $60^\circ$ :

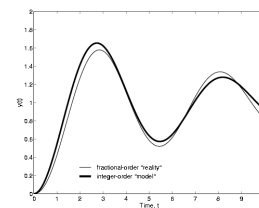
$$\Phi_m = \arg[C(j\omega)G(j\omega)] + \pi = \arg \left[ \frac{1}{(j\omega)^{1/3}} \right] + \pi = \pi - \frac{4\pi}{3 \cdot 2} = \frac{\pi}{3}$$

Step response:

$$y(t) = L^{-1} \left\{ \frac{1}{s(s^{1+1/3} + 1)} \right\} = t^{1+1/3} E_{1+1/3, 2+1/3}(-t^{1+1/3})$$

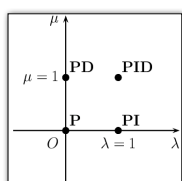
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## $PI^\lambda D^\mu$ controllers



Comparison of unit step responses of the fractional-order "reality" and its integer-order "model"

## $PI^\lambda D^\mu$ controllers

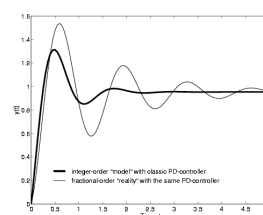


$$G_c(s) = \frac{U(s)}{E(s)} = K_p + K_I s^{-\lambda} + K_D s^\mu$$

$$u(t) = K_p e(t) + K_I D^{-\lambda} e(t) + K_D D^\mu e(t)$$

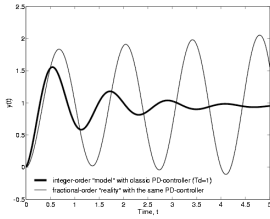
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## $PI^\lambda D^\mu$ controllers



Control of the "reality" and the "model" using a classical PD controller, which is optimal for the "model"

## PI<sup>λ</sup>D<sup>μ</sup> controllers



Control of the "reality" and the "model" using a classical PD controller, which is optimal for the "model",

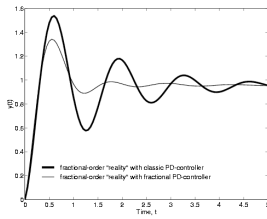
after detuning (aging) of the controller (when  $T_D = 1$ ).

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

The design of PI<sup>λ</sup>D<sup>μ</sup> controllers can be based on gain and phase margin specifications:

$$\begin{cases} \Re[C(j\omega_p)] \Re[P(j\omega_p)] - \Im[C(j\omega_p)] \Im[P(j\omega_p)] = -\frac{1}{A_m}, \\ \Re[C(j\omega_p)] \Im[P(j\omega_p)] + \Im[C(j\omega_p)] \Re[P(j\omega_p)] = 0, \\ \Re[C(j\omega_g)] \Re[P(j\omega_g)] - \Im[C(j\omega_g)] \Im[P(j\omega_g)] = -\cos \Phi_m, \\ \Re[C(j\omega_g)] \Im[P(j\omega_g)] + \Im[C(j\omega_g)] \Re[P(j\omega_g)] = -\sin \Phi_m, \end{cases}$$

## PI<sup>λ</sup>D<sup>μ</sup> controllers



Control of the „reality“ using the PD controller, which is optimal for the „model“, and using the PD<sup>μ</sup> controller.

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

- The plant model is assumed to be

$$G(s) = \frac{1}{a_1 s^\alpha + a_2 s^\beta + a_3}$$

- and the fractional order PID controller is

$$G_c(s) = K_P + \frac{K_I}{s^\lambda} + K_D s^\mu$$

- It is expected that the gain and phase margin of the compensated systems are  $A_m$  and  $\phi_m$
- Question: how to choose PI<sup>λ</sup>D<sup>μ</sup> parameters

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

Design of fractional-order PID controller parameters is determined by given requirements. These requirements can be, for example:

- the damping ratio,
- the steady-state error,
- dynamical properties,
- etc.

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

Requiring  $|G_c(j\omega_g)G_p(j\omega_g)| = 1$ ,  $\arg[G_c(j\omega_p)G_p(j\omega_p)] = -\pi$  it can be found that

$$\begin{aligned} K_P + \frac{K_I}{\omega_p^\lambda} \cos \frac{\pi\lambda}{2} + K_D \omega_p^\mu \cos \frac{\pi\mu}{2} &= -\frac{a_1}{A_m} \omega_p^\alpha \cos \frac{\pi\alpha}{2} - \frac{a_2}{A_m} \omega_p^\beta \cos \frac{\pi\beta}{2} - \frac{a_3}{A_m} \\ K_P + \frac{K_I}{\omega_g^\lambda} \cos \frac{\pi\lambda}{2} + K_D \omega_g^\mu \cos \frac{\pi\mu}{2} &= -a_1 \omega_g^\alpha \cos \left( \frac{\pi\alpha}{2} + \phi_m \right) - a_2 \omega_g^\beta \cos \left( \frac{\pi\beta}{2} + \phi_m \right) - a_3 \cos \phi_m \\ K_P + \frac{K_I}{\omega_g^\lambda} \cos \frac{\pi\lambda}{2} + K_D \omega_g^\mu \cos \frac{\pi\mu}{2} &= -a_1 \omega_g^\alpha \cos \left( \frac{\pi\alpha}{2} + \phi_m \right) - a_2 \omega_g^\beta \cos \left( \frac{\pi\beta}{2} + \phi_m \right) - a_3 \cos \phi_m \\ -K_I \frac{\sin \frac{\pi\lambda}{2}}{\omega_g^\lambda} + K_D \sin \frac{\pi\mu}{2} \omega_g^\mu &= -a_1 \omega_g^\alpha \sin \left( \frac{\pi\alpha}{2} + \phi_m \right) - a_2 \omega_g^\beta \sin \left( \frac{\pi\beta}{2} + \phi_m \right) - a_3 \sin \phi_m \end{aligned}$$

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

We have four equations with seven variables:

$$(\omega_p, \omega_g, \lambda, \mu, K_I, K_P, K_D)$$

The rest of the variables can be determined by minimizing the ISE criterion  $\int_0^\infty e^2(t)dt$

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

### I. General Description of Linear Fractional Order Systems

#### I.1 The normal form

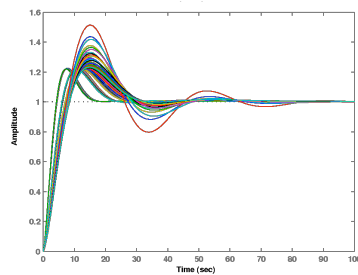
$$G(s) = \frac{b_1 s^{\gamma_1} + b_2 s^{\gamma_2} + \dots + b_m s^{\gamma_m}}{a_1 s^{\eta_1} + a_2 s^{\eta_2} + \dots + a_{n-1} s^{\eta_{n-1}} + a_n s^{\eta_n}}$$

- Thus compared with IO LTI's, information on orders are also used
- A FOTF model class/object can be defined in MATLAB to describe the system model

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

Sample plots for various combinations of  $\lambda$  and  $\mu$ :



## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

#### II.1 Create a @fotf directory

- The fotf class can be defined as

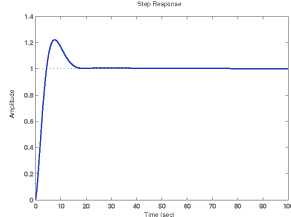
```
function G=fotf(a,na,b,nb)
if nargin==0,
    G.a=[]; G.na=[]; G.b=[]; G.nb=[];
    G=class(G,'fotf');
elseif isa(a,'fotf'),
    G=a;
else,
    G.a=a; G.na=na; G.b=b; G.nb=nb;
    G=class(G,'fotf');
end
```

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> controllers: design

The optimal PI<sup>λ</sup>D<sup>μ</sup> controller:

$$G_{FC}(s) = 822.8093 + \frac{9.1806}{s^{0.1}} + 74.9213s^{0.5}$$



## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- Function call  $G=fotf(a, \eta, b, \gamma)$
- A display function

```
function display(G)
strN=polydisp(G.b,G.nb); strD=polydisp(G.a,G.na);
nm=max([length(strN),length(strD)]); nn=length(strN); nd=length(strD);
disp(['char(' ' 'ones(1,floor((nm-nn)/2))) strN], disp(char(' ' 'ones(1,nn)))');
disp(['char(' ' 'ones(1,floor((nm-nd)/2))) strD]);
function strP=polydisp(p,np)
P=''; [np,ii]=sort(np,'descend'); p=p(ii);
for i=1:length(p)
    P=[P,'+',num2str(p(ii)),'s^',num2str(np(ii)),''];
end
P=P(2:end); P=strrep(P,'s^',''); P=strrep(P,'+','-');
P=strrep(P,'{1}',''); P=strrep(P,'+is','+s'); strP=strrep(P,'-1s','-s');
if length(strP)>2, if strP(1:2)=='1s', strP=strP(2:end); end,end,
```

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- Example

$$G(s) = \frac{-2s^{0.63} - 4}{2s^{3.501} + 3.8s^{2.42} + 2.6s^{1.798} + 2.5s^{1.31} + 1.5}$$

- MATLAB command

```
>> b=[-2,-4]; nb=[0.63,0]; a=[2 3.8 2.6 2.5 1.5];
na=[3.501,2.42,1.798,1.31,0]; G=fotf(a,na,b,nb)
```

- Display

$$\frac{-2s^{0.63} - 4}{2s^{3.501} + 3.8s^{2.42} + 2.6s^{1.798} + 2.5s^{1.31} + 1.5}$$

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- Feedback function

```
function G=feedback(F,H)
b=kron(F.b,H.a); na=[]; nb=[];
a=[kron(F.b,H.b), kron(F.a,H.a)];
for i=1:length(F.b),
    nb=[nb F.nb(i)+H.nb]; na=[na,F.nb(i)+H.nb];
end
for i=1:length(F.a), na=[na F.na(i)+H.na]; end
G=unique(fotf(a,na,b,nb));
```

- These functions are suitable for interconnections of fractional order systems

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- Plus function for parallel connections

```
function G=plus(G1,G2)
a=kron(G1.a,G2.a); na=[]; nb=[];
b=[kron(G1.a,G2.b), kron(G1.b,G2.a)];
for i=1:length(G1.a),
    na=[na G1.na(i)+G2.na];
    nb=[nb, G1.na(i)+G2.nb];
end
for i=1:length(G1.b),
    nb=[nb G1.nb(i)+G2.na];
end
G=unique(fotf(a,na,b,nb));
```

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- A common function unique

```
function G=unique(G)
[a,n]=polyuniq(G.a,G.na); G.a=a; G.na=n;
[a,n]=polyuniq(G.b,G.nb); G.b=a; G.nb=n;
function [a,an]=polyuniq(a,an)
[an,ii]=sort(an,'descend'); a=a(ii);
ax=diff(an); key=1;
for i=1:length(ax)
    if ax(i)==0,
        a(key)=a(key)+a(key+1); a(key+1)=[];
        an(key+1)=[];
    else, key=key+1; end
end
```

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

- Multiplication for series connection

```
function G=mtimes(G1,G2)
a=kron(G1.a,G2.a); b=kron(G1.b,G2.b); na=[]; nb=[];
for i=1:length(G1.na), na=[na,G1.na(i)+G2.na]; end
for i=1:length(G1.nb), nb=[nb,G1.nb(i)+G2.nb]; end
G=unique(fotf(a,na,b,nb));
```

- Minus function G=minus(G1,G2)

```
G=G1+(-G2);
```

- Uminus function G=uminus(G1)

```
G=fotf(G1.a,G1.na,-G1.b,G1.nb);
```

- Inv function G=inv(G1)

```
G=fotf(G1.b,G1.nb,G1.a,G1.na);
```

Courtesy: Dingyü Xue, YangQuan Chen

## PI<sup>λ</sup>D<sup>μ</sup> control: MATLAB

### Example of interconnection:

- Unity negative feedback system with

$$G(s) = \frac{0.8s^{1.2} + 2}{1.1s^{1.8} + 0.8s^{1.3} + 1.9s^{0.5} + 0.4}$$

$$G_c(s) = \frac{1.2s^{0.72} + 1.5}{3s^{0.8}}$$

- The closed-loop system

```
>> G=fotf([1.1,0.8 1.9 0.4],[1.8 1.3 0.5 0],[0.8 2],[1.2 0]);
Gc=fotf([3],[0.8],[1.2 1.5],[0.72 0]); H=fotf(1,0,1,0);
GG=feedback(G*Gc,H)
```

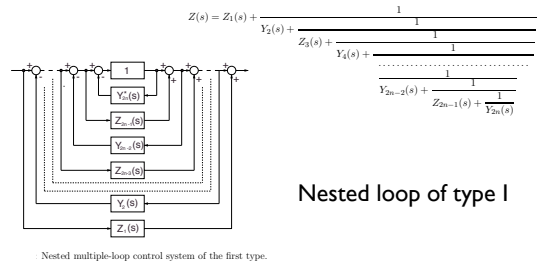
$$G(s) = \frac{0.96s^{1.92} + 1.2s^{1.2} + 2.4s^{0.72} + 3}{3.3s^{2.6} + 2.4s^{2.1} + 0.96s^{1.92} + 5.7s^{1.3} + 1.2s^{1.2} + 1.2s^{0.8} + 2.4s^{0.72} + 3}$$

# Continued fractions (CFE)

$$G(s) \simeq a_0(s) + \frac{b_1(s)}{a_1(s) + \frac{b_2(s)}{a_2(s) + \frac{b_3(s)}{a_3(s) + \dots}}}$$

$$= a_0(s) + \frac{b_1(s)}{a_1(s) + \frac{b_2(s)}{a_2(s) + \frac{b_3(s)}{a_3(s) + \dots}}}$$

# CFEs and nested multiple loops

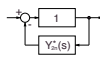


# CFEs and nested multiple loops



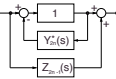
$$R(s) = \frac{G(s)}{1 + G(s)H(s)}$$

A control loop with a negative feedback.



$$P_{2n}(s) = \frac{1}{1 + 1 \cdot Y_{2n}(s)} = \frac{1}{Y_{2n}(s)}$$

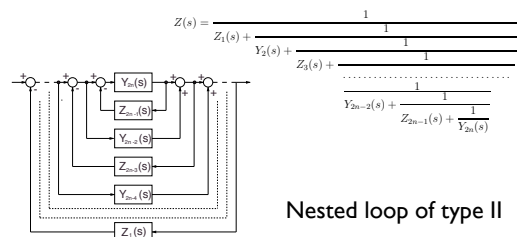
Nested multiple-loop control system - level 1.



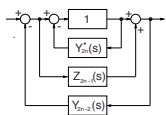
$$Q_{2n-1}(s) = Z_{2n-1}(s) + P_{2n}(s) = Z_{2n-1}(s) + \frac{1}{Y_{2n}(s)}$$

Nested multiple-loop control system - level 2.

# CFEs and nested multiple loops



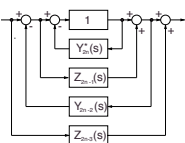
# CFEs and nested multiple loops



$$P_{2n-2}(s) = \frac{Q_{2n-1}(s)}{1 + Q_{2n-1}(s)Y_{2n-2}(s)} = \frac{1}{Y_{2n-2}(s) + \frac{1}{Q_{2n-1}(s)}}$$

$$= \frac{1}{Y_{2n-2}(s) + \frac{1}{Z_{2n-1}(s) + \frac{1}{Y_{2n}(s)}}}$$

Nested multiple-loop control system - level 3.



$$Q_{2n-3}(s) = Z_{2n-3}(s) + P_{2n-2}(s)$$

$$= Z_{2n-3}(s) + \frac{1}{Y_{2n-2}(s) + \frac{1}{Z_{2n-1}(s) + \frac{1}{Y_{2n}(s)}}}$$

Nested multiple-loop control system - level 4.

# General CFE method

A rational approximation for  $G(s) = s^{-\alpha}$ ,  $0 < \alpha < 1$  can be obtained by CFE of the following expressions:

$$H_h(s) = \frac{1}{(1 + sT)^\alpha} \quad \text{high frequencies } (\omega T \gg 1)$$

$$H_l(s) = \left(1 + \frac{1}{s}\right)^\alpha \quad \text{low frequencies } (\omega \ll 1)$$

Example:

Performing the CFE of the function  $H_h(s)$ , with  $T = 1$ ,  $\alpha = 0.5$ , we obtain:

$$H_1(s) = \frac{0.3513s^4 + 1.405s^3 + 0.8433s^2 + 0.1574s + 0.008995}{s^4 + 1.333s^3 + 0.478s^2 + 0.064s + 0.002844}$$

## General Approach to Fractances

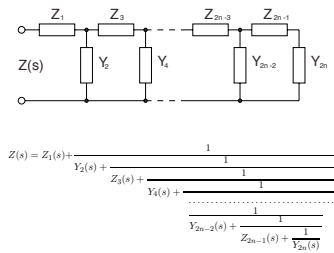
A device or a circuit exhibiting fractional-order behaviour is called a fractance.

- domino ladder circuit network,
- a tree structure of electrical elements,
- transmission line circuit

Design of fractances can be using a truncated CFE, which gives a rational approximation.

## General Approach to Fractances

Domino ladder circuit



## General Approach to Fractances

S. C. Dutta Roy on Khovanskii's CFE for  $x^{1/2}$ :

"... if  $x$  is replaced by the complex frequency variable  $s$ , then the realization would require a negative resistance. Thus, the [Khovanskii's] CFEs do not seem to be useful for realization of fractional inductor or capacitor."

However, the possibility of realization of negative impedances in electric circuits has been pointed out by H.W. Bode in 1945.

## General Approach to Fractances

Example I: design a domino ladder circuit with

$$Z(s) = \frac{s^4 + 4s^2 + 1}{s^3 + s}$$

Find a CFE: 
$$Z(s) = \frac{s^4 + 4s^2 + 1}{s^3 + s} = s + \frac{1}{\frac{1}{3}s + \frac{9}{2}s + \frac{1}{\frac{2}{3}s}}$$

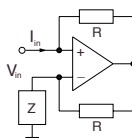
Therefore,  $Z_1(s) = s; \quad Z_3(s) = \frac{9}{2}s; \quad Y_2(s) = \frac{1}{3}s; \quad Y_4(s) = \frac{2}{3}s.$

For the first Cauer's canonic LC circuits we must take

$$L_1 = 1 [H]; \quad L_3 = \frac{9}{2} [H]; \quad C_2 = \frac{1}{3} [F]; \quad C_4 = \frac{2}{3} [F].$$

## General Approach to Fractances

Negative impedance converters are available:



Negative-impedance converter.

## General Approach to Fractances

Example II: design a domino ladder circuit with

$$Z(s) = \frac{s^4 + 3s^2 + 8}{2s^3 + 4s}$$

We have: 
$$Z(s) = \frac{s^4 + 3s^2 + 8}{2s^3 + 4s} = \frac{1}{2}s + \frac{1}{2s + \frac{1}{-\frac{1}{12}s + \frac{1}{\frac{3}{2}s}}}$$

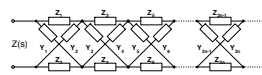
$$Z_1(s) = \frac{1}{2}s; \quad Z_3(s) = -\frac{1}{12}s; \quad Y_2(s) = 2s; \quad Y_4(s) = -\frac{3}{2}s.$$

$$L_1 = \frac{1}{2} [H]; \quad L_3 = -\frac{1}{12} [H]; \quad C_2 = 2 [F]; \quad C_4 = -\frac{3}{2} [F].$$

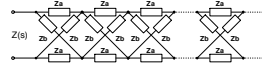
Notice negative values - can be done using OpAmps

## General Approach to Fractances

### Transmission lines circuit



General structure of transmission line.



Transmission line circuit composed of two impedance  $Z_a$  and  $Z_b$ .

$$Z(s) = \sqrt{Z_a \cdot Z_b}$$

Take

$$Z_a = R$$

$$Z_b = 1/sC$$

Then

$$Z(s) = \sqrt{\frac{R}{C}} s^{-1/2}$$

## General Approach to Fractances

Sample implementations:

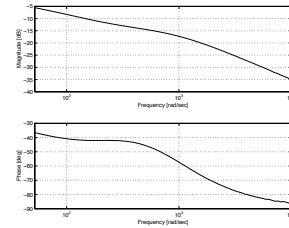
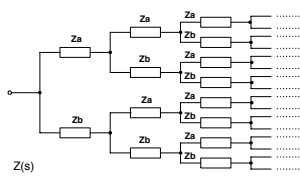


Figure 7.5: Bode plots of the  $I^{1/2}$  controller where half order integral was approximated by transmission line depicted in Fig. 7.2.

## General Approach to Fractances

### Tree structure circuit



$$Z(s) = \sqrt{Z_a \cdot Z_b}$$

Take

$$Z_a = R$$

$$Z_b = 1/sC$$

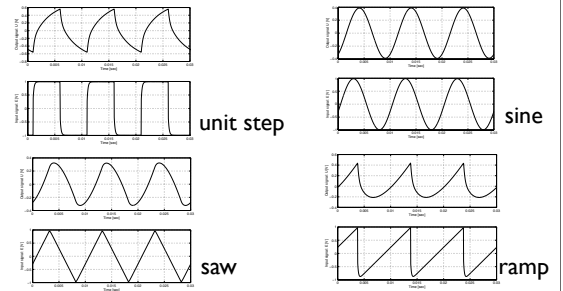
Then

$$Z(s) = \sqrt{\frac{R}{C}} s^{-1/2} = \sqrt{\frac{R}{C}} \omega^{-1/2} e^{-j\pi/4} |_{s=j\omega}$$

phase angle is constant  $-\pi/4$ , independent of the frequency

## General Approach to Fractances

Sample implementations -- transmission lines -- responses:



## General Approach to Fractances

Sample implementations:

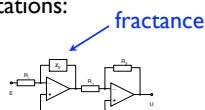
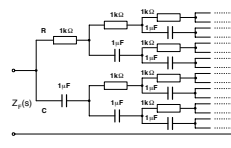
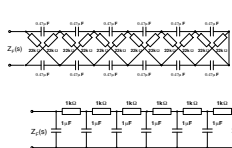


Figure 7.1: Analogue fractional-order integrator.



## Stability of fractional order systems

Commensurate order systems:

$$G(s) = K \frac{\sum_{k=0}^m b_k (s^\alpha)^k}{\sum_{k=0}^n a_k (s^\alpha)^k} = K \frac{N(s^\alpha)}{D(s^\alpha)}$$

For example:

$$G(s) = \frac{1}{s^{2/3} - s^{1/2} + 1/2}$$

can be written as

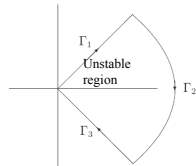
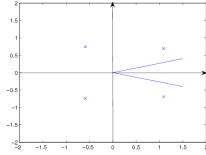
$$G(\lambda) = \frac{1}{\lambda^4 - \lambda^3 + 1/2} \quad \lambda = s^{1/6}$$



# Stability of fractional order systems

- The  $\Gamma$  curve
  - if  $\lambda = s^\alpha$ , then the stable condition for the system is

$$|\arg(\lambda_i)| > \alpha\pi/2$$



$$G(\lambda) = \frac{1}{\lambda^4 - \lambda^3 + 1/2}$$

with four poles in the stable region